

Handling missing data in the 1970 British Cohort Study

Richard Silverwood, Michalis Katsoulis & Brian Dodgeon

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LONGITUDINAL
STUDIES

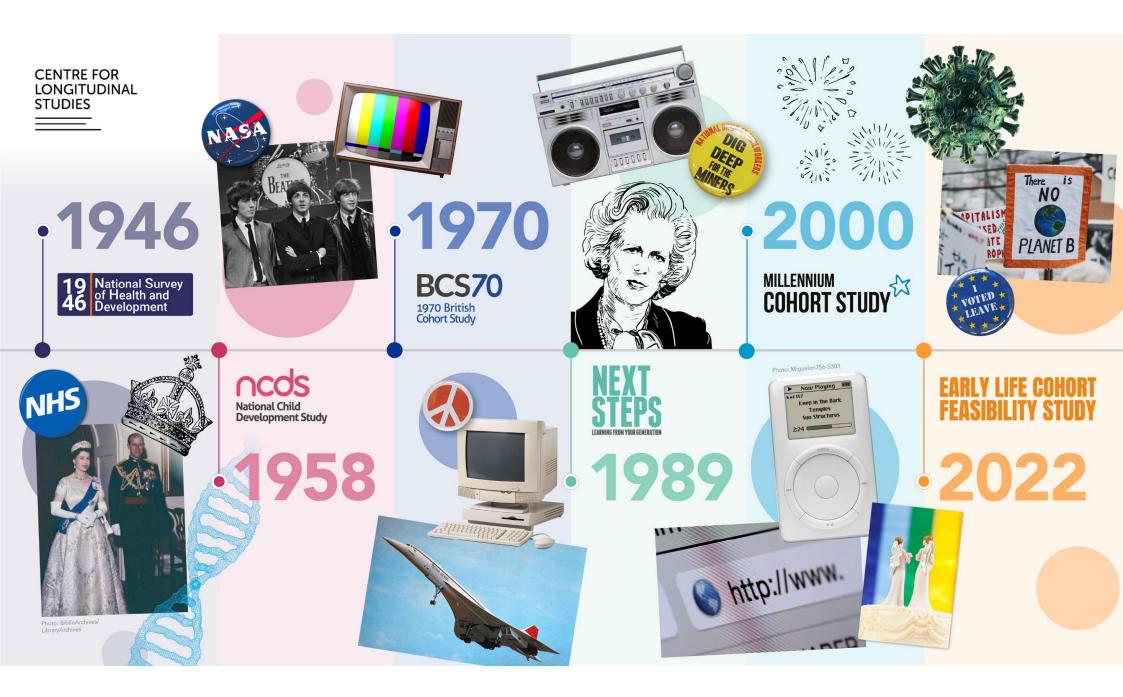
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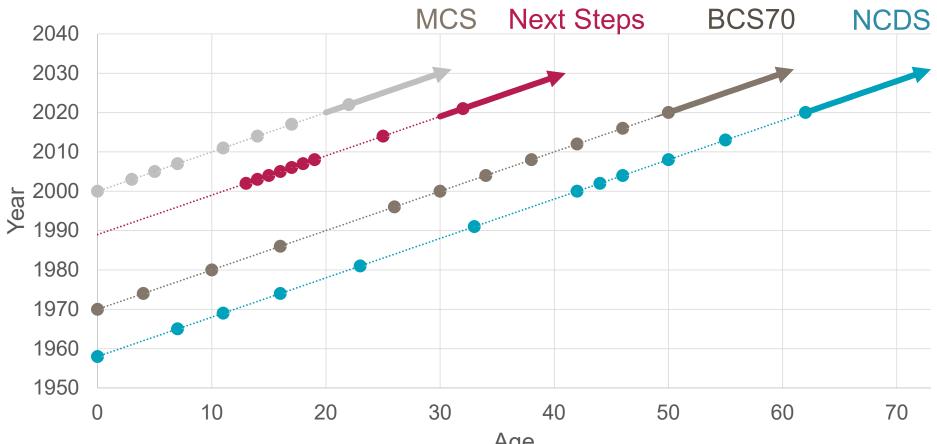
Economic and Social Research Council



Introduction to BCS70



CLS core study timelines



CENTRE FOR LONGITUDINAL **STUDIES**

Age

1970 British Cohort Study (BCS70)

- Longitudinal birth cohort study of people born in England, Wales, Scotland and Northern Ireland in one week in 1970.
- Initial N = 17,196, though NI members not followed up after birth (unless moved to mainland).
- Boost samples through childhood.
- Collected information on health, physical, educational and social development, and economic circumstances, among other factors.
- PI: George Ploubidis.



BCS70: Respondents, instruments and response

	1970 Birth	1975 5	1980 10	1986 16	1996 26	2000 30	2004 34	2008 38	2012 42	2016 46
DO main respondent	mother	parents	subject/ parents	subject/ parents	subject	subject	subject	subject	subject	subject
secondary respondent	medical	medical	medical/ school	medical/ school			children			medical
survey instruments		cognitive assess- ments	cognitive assess- ments	cognitive assess- ments and diaries	16-page postal questionnai re		cognitive assess- ments	telephone survey	vocabulary test	cognitive assess- ments
linked data									Consent to data linkage	
response	17,196	13,135	14,875	11,622	9,003	11,261	9,665	8,874	9,841	8,581

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BCS70: Topics covered by life stage



ĨŢ		
Birth	School years	Adult
Family	Family	Family (partners, children)
Parental employment	Parental employment	Employment
Obstetric history	Financial circumstances	Income
Smoking in pregnancy	Housing	Housing
Pregnancy	Health	Health
(problems, antenatal care)	Behaviour	Health-related behaviour
Labour	School	Courses and qualifications
(length, pain relief, problems)	Views and expectations	Basic skills
Birth (problems, sex, weight, length)	Attainment	Cognitive ability
(problems, sex, weight, length)		Views and expectations

Age 51 (available November 2024)



Employment and income

- Occupation
- Income
- Partner's employment and income
- Impact of COVID-19
- Benefits
- Pensions
- Debts
- Intergenerational transfers

Mental health and well-being

Psychological distress
Mental well-being
Life satisfaction

Physical health and health behaviours

- General
- Longstanding illness
- Health conditions
- COVID tests, symptoms, long COVID
- Height/weight
- Exercise
- Diet
- Drinking and smoking

Family and relationships

- Grandchildren
- CM's and partners parents caring responsibilities
- Social contact
- Quality of relationships
- Menstruation
- Fertility

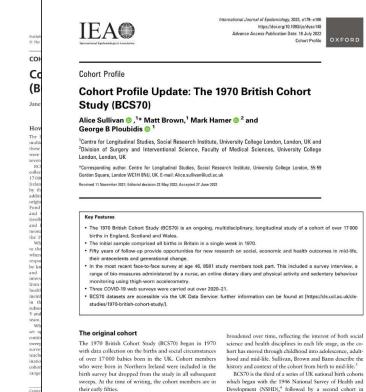
Cognitive skills and processes

- Immediate and delayed recall
- Animal naming
- Letter cancellation
- National Audit Reading Test (NART)

<u>Activities, attitudes and values</u>

Cohort profiles



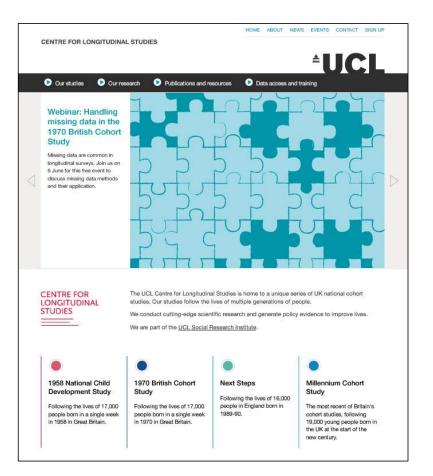


BCS70 is the third of a series of UK national birth cohorts which began with the 1946 National Survey of Health and Development (NSHD),4 followed by a second cohort in The initial BCS70 birth study had a particular focus on 1958, the National Child Development Study (NCDS).⁵ The perinatal mortality.^{1,2} The focus of the study has Millennium Cohort Study⁶ began 30 years after BCS70. The

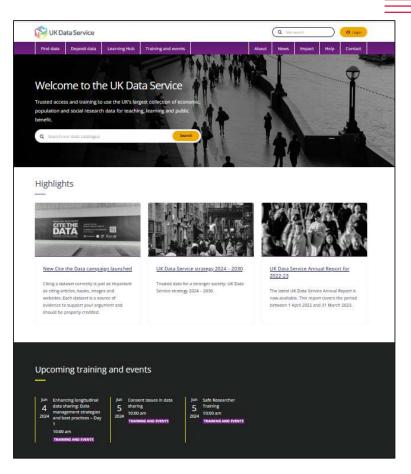
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Accessing more information/data



https://cls.ucl.ac.uk



https://ukdataservice.ac.uk/



CLS missing data strategy

Missing data



- Non-response inevitable in longitudinal surveys.
- Reduced analysis sample size \rightarrow reduced efficiency.
- Respondents often systematically different from nonrespondents → threat to representativeness & potential for bias.
- Well known (principled) methods for handling missing data include multiple imputation, inverse probability weighting and full information maximum likelihood.
- These rely on the assumption that the data are missing at random (MAR) = given the observed values, missingness does not depend on unobserved values.

Multiple imputation (MI)



- Specify an appropriate imputation model and create a series of imputed datasets.
- Each imputed dataset is analysed using the substantive model and results combined using standard rules.
- Need to include all variables in the substantive model in the imputation model.
- Can also include "auxiliary variables": variables associated with the underlying values of the variable(s) subject to missingness, particularly those also associated with the probability of missingness.

CLS Missing Data Strategy



- Most analyses employing such MAR methods rely on a largely arbitrary selection of variables used as predictors of missingness.
- We aim to maximise the plausibility of the MAR assumption by optimising the set of such variables used in analyses.
- We use systematic, data-driven approaches to identify variables that are associated with non-response at each wave.
- These can then be considered for inclusion as auxiliary variables.
- This allows us to capitalise on the rich data cohort members have provided over the years/decades.

Why the focus on wave non-response?



- Wave non-response is the main driver of missing data in analyses of CLS studies. Item non-response less of an issue.
- Much of the wave non-response is due to attrition.
- For longitudinal analyses, wave non-response at the most recent sweep is therefore usually the biggest contributor to missingness.
- Can identify predictors of wave non-response at cohort (rather than analysis) level pragmatic approach.
- In analyses in which item non-response is more prevalent, this may need additional consideration.

1958 National Child Development Study



Longitudinal and Life Course Studies • vol 13 • no 2 • 335-341 © Authors 2022 • Paper received October 2021/Published online Feburary 2022 Online ISSN 1757-9597 • https://doi.org/10.1332/175795921X16428748347208 This article is distributed under the terms of the Creative Commons Attribution 4.0 \odot \odot E license (http://creativecommons.org/licenses/by/4.0/) which permits adaptation, alteration, reproduction and distribution without further permission provided the original work is attributed. The derivative works do not need to be licensed on the same terms. Missing Tarek Most CORRESPONDENCE Letter to the editor: Don't forget survey data: Abstract 'healthy cohorts' are 'real-world' relevant if missing Objective: data are handled appropriately for bias. We i Study (NCDS: Richard J. Silverwood, r.silverwood@ucl.ac.uk implications fo Alissa Goodman, alissa.goodman@ucl.ac.uk Study Desig (n = 17.415).George B. Ploubidis, g.ploubidis@ucl.ac.uk Results: Di University College London, UK lack of civic an other data from To cite this article: Silverwood, R., Goodman, A. and Ploubidis, G. (2022) Letter to the from external d Conclusion editor: Don't forget survey data: 'healthy cohorts' are 'real-world' relevant if missing data assumption. Th are handled appropriately. Longitudinal and Life Course Studies, vol 13, no 2, 335-341. missing data. DOI: 10.1332/175795921X16428748347208 Keywords: Coho Dear Professor Joshi 1. Introduction We write to you regarding the published article 'Are "healthy cohorts" real-world relevant? Comparing the National Child Development Study (NCDS) with the ONS Non-respon Longitudinal Study (LS)' by Archer et al (2020). The authors report that NCDS is The consequence lower statistic unrepresentative of age-matched LS respondents, but that despite differences in sample compared to th characteristics, longitudinal associations were similar in the NCDS and LS samples. some exception They attribute the discrepancy between NCDS and LS to a 'healthy cohort' effect [1-3], in the n and propose that creating non-response weights from administrative data should be will occur if used. While we agree with Archer et al that administrative data have the potential to pleteness are n interdisciplinar inform missing data analyses in longitudinal surveys, the authors omit to mention that dealt with usir even without administrative data there are already methods available to researchers been argued th to restore sample representativeness using survey information alone that have been with the same shown to be highly effective. as its validity To demonstrate the effectiveness of using survey information - without tions" [6]. augmentation by administrative data - in restoring sample representativeness in NCDS Rubin dese with respect to the LS, we present Table 1 from their manuscript, with additional i) missing con columns from our own analyses. We accounted for non-response at age 46 and 55 Conflict of i with multiple imputation (MI), using chained equations (Azur et al, 2011; White * Corresponding E-mail addres et al, 2011; Harel et al, 2018) to generate 50 imputed datasets.¹ The imputation phase included 'auxiliary variables' (Carpenter and Kenward 2012) from earlier sweeps of 0895-4356/@ 202 335 Brought to you by University College London | Unauthenticated | Downloaded 06/19/23 03:29 PM UTC

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136: 44-54.

Next Steps

RESEARCH ARTICLE

A data-driven approach to understanding nonresponse and restoring sample representativeness in the UK Next Steps cohort

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Non-response is common in longitudinal surveys, reducing efficiency and introducing the potential for bias. Principled methods, such as multiple imputation, are generally required to obtain unbiased estimates in surveys subject to missingness which is not completely at random. The inclusion of predictors of non-response in such methods, for example as auxiliary variables in multiple imputation, can help improve the plausibility of the missing at random assumption underlying these methods and hence reduce bias. We present a systematic data-driven approach used to identify predictors of non-response at Wave 8 (age 25-26) of Next Steps, a UK national cohort study that follows a sample of 15,770 young people from age 13-14 years. The identified predictors of non-response were across a number of broad categories, including personal characteristics, schooling and behaviour in school, activities and behaviour outside of school, mental health and well-being, socio-economic status, and practicalities around contact and survey completion. We found that including these predictors of non-response as auxiliary variables in multiple imputation analyses allowed us to restore sample representativeness in several different settings, though we acknowledge that this is unlikely to universally be the case. We propose that these variables are considered for inclusion in future analyses using principled methods to explore and attempt to reduce bias due to non-response in Next Steps. Our data-driven approach to this issue could also be used as a model for investigations in other longitudinal studies.

Keywords cohort studies • missing data • multiple imputation • non-response • sample representativeness

227 Unauthenticated | Downloaded 05/20/24 02:02 PM UTC Silverwood RJ, Calderwood L, Henderson M, Sakshaug JW, Ploubidis GB. **A data-driven approach to understanding nonresponse and restoring sample representativeness in the UK Next Steps cohort**. Longitudinal and Life Course Studies. 2024; 15(2): 227-50.



BCS70

A data driven approach to address missing data in the 1970 British birth cohort

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Katsoulis M, Narayanan M, Dodgeon B, Ploubidis G, Silverwood R. **A data driven approach to address missing data in the 1970 British birth cohort**. medRxiv. 2024: 2024.02.01.24302101.

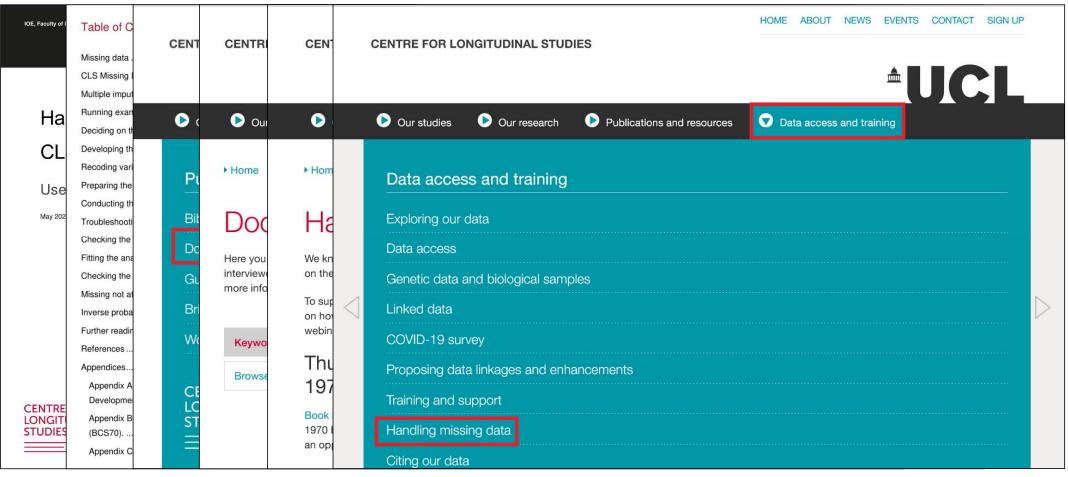
Extending the strategy

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Abstract		Ĵ
Background There is grow subject to missing data in c		administrative data have the potential to aid analyses
Methods Using linked 195	8 National Child Developmen	nt Study (NCDS; British cohort born in 1958, n=18,558) and
are predictive of non-respo	nse at the age 55 sweep of NO	D variable selection approach to identify HES variables which CDS. We then included these variables as auxiliary variables
		t to which they helped restore sample representativeness ondents in terms of early life variables (father's social class at
		opulation benchmarks (educational qualifications and marital
Results We identified 10 H		ive of non-response at age 55 in NCDS. For example, cohort
		had more than 70% greater odds of bring non-respondents ision of these HES variables in MI analyses only helped to
restore sample representati	iveness to a limited extent. Fur	rthermore, there was essentially no additional gain in sample
rather than HES variables).	to analyses using only previou	usly identified survey predictors of non-response (i.e. NCDS
		ng data handling in NCDS to a limited extent. However, orts or linked administrative datasets. This work provides a
demonstration of the use o		r the handling of missing cohort data which we hope will act
as template for others.	data Cohort studios. Data link	age, Missing data, Multiple imputation, Representativeness
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Rajah N, Calderwood L, De Stavola BL, Harron K, Ploubidis GB, Silverwood RJ. **Using linked** administrative data to aid the handling of non-response and restore sample representativeness in cohort studies: the 1958 national child development study and hospital episode statistics data. BMC Medical Research Methodology. 2023; 23(1): 266.

Additional resources



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STUDIES

LONGITUDINAL



Missing data strategy in BCS70

Identifying predictors of non-response in BCS70



- Very similar approach used in BCS70 as in NCDS
- Aim to maximise the plausibility of the MAR assumption using a data driven approach we identify the variables that are associated with non-response at each sweep (and can potentially be used as auxiliary variables)

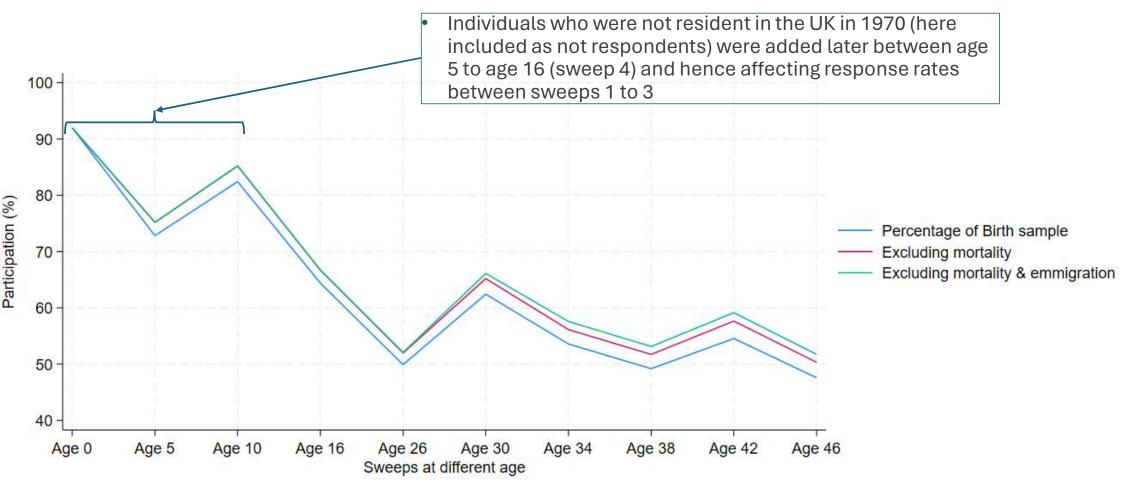
Summary



- We have identified variables which predict non-response at each wave of BCS70.
- These can be used as auxiliary variables in subsequent analyses to increase the plausibility of the MAR assumption.
- Simple test analyses have shown this approach to perform well.
- A straightforward approach, easily implemented in standard software.
- Lists of predictors of non-response available via Handling missing data in the CLS cohort studies (<u>https://cls.ucl.ac.uk/wp-</u> <u>content/uploads/2020/04/Handling-Missing-Data-User-Guide-</u> <u>2024.pdf</u>).
- Will be updated when new waves of data become available.

BCS: Response over time





Identifying predictors of non-response in BCS70

- ~20000 variables in BCS70 sweeps 1-10
- 18037 individuals in BCS70
- Exclude:
 - Routed variables.
 - Binary variables with prevalence <1%.
 - Variables with item non-response > 40%.
- Use summary scores for scales
- For non-response at sweep *t* we used the same 3 stage approach as in NCDS (using a bit stricter criteria)



Identifying predictors of non-response in NCDS

- For non-response at sweep t:
 - Stage 1: Univariable regressions for predictors at sweep 0, ..., sweep t – 1. Retain predictors with p < 0.001.
 - Stage 2: Multivariable regressions for predictors at sweep 0, ..., sweep t – 1 (separately). Retain predictors with p < 0.05.
 - Stage 3: MI; multivariable regressions for predictors at sweep 0, ..., sweep t – 1, adjusted for predictors at previous waves. Retain predictors with p < 0.001

Variables sex, country of birth, participation in all previous sweeps and father's socioeconomic status are contained in the final set of variables and hence are not included in this formula ENTRE FOR

Flowchart presenting the number of variables used to find the predictors of non-response



Number of available variables: N=21021

Excluded "routed" variables (questions that depend on a specific response to a previous question), variables with >40% missing, variables with categories including >98% of cases, recoded variables with categories <1%, excluded all binary variables with prevalence <1% of cases if possible, and used index/score variables that combined information from many variables rather the individual constituent items



	Application of the Stage 1-3 process
Variables to be used after Stage 3:	
Vary from N=7 (at sweep 2) to	
N=16 (at sweep 10)	

Predictors of non-response

								STUD		
	NR	NR	NR	NR	NR	NR	NR	NR	NR	
	sweep 2	sweep 3	sweep 4	sweep 5	sweep 6	sweep 7	sweep 8	sweep 9	sweep 10	
	(age 5)	(age 10)	(age 16)	(age 26)	(age 30)	(age 34)	(age 38)	(age 42)	(age 46)	
Sweep 1 (birth)	S1: 28	S1: 26	S1: 30	S1: 37	S1: 30	S1: 34	S1: 35	S1: 31	S1: 35	
	S2: 15	S2: 14	S2: 13	S2: 15	S2: 14	S2: 13	S2: 13	S2: 12	S2: 11	
Sweep 2 (age 5)		S1: 15	S1: 39	S1: 56	S1: 49	S1: 55	S1: 57	S1: 49	S1: 51	
011000 2 (480 0)		S2: 8	S2: 9	S2: 6	S2: 9	S2: 11	S2: 7	S2: 8	S2: 9	
Sweep 3 (age 10)			S1: 64	S1: 88	S1: 84	S1: 91	S1: 90	S1: 82	S1: 78	
			S2: 15	S2: 14	S2: 12	S2: 13	S2: 13	S2: 9	S2: 9	
Sweep 4 (age 16)				S1: 63	S1: 39	S1: 57	S1: 65	S1: 49	S1: 54	
61166p + (486 + 6)				S2: 11	S2: 7	S2: 9	S2: 12	S2: 9	S2: 7	
Sweep 5 (age 26)					S1: 35	S1: 54	S1: 64	S1: 49	S1: 53	
01100000 (460 20)					S2: 7	S2: 8	S2: 13	S2: 5	S2: 10	
Sweep 6 (age 30)						S1: 56	S1: 75	S1: 56	S1: 64	
Sweep 6 (age 50)						S2: 11	S2: 11	S2: 12	S2: 15	
Sweep 7 (age 34)							S1: 80	S1: 69	S1: 77	
							S2: 10	S2: 17	S2: 16	
Sweep 8 (age 38)								S1: 23	S1: 27	
Sweep B (dge BB)								S2: 10	S2: 8	
Sweep 9 (age 42)									S1: 39	
6466b 6 (a86 42)									S2: 5	
STAGE 3 without extra	S3: 5 (out of	S3: 7 (out of	S3: 11 (out	S3: 10 (out	S3: 9 (out of	S3: 12 (out of	S3: 12 (out of	S3: 9 (out of	S3: 13 (out of	
variables*	15 in total	22 in total	of 37 in total	of 46 in total	49 in total	65 in total	79 in total	82 in total	90 in total	
	from S2)	from S2)	from S2)	from S2)	from S2)	from S2)	from S2)	from S2)	from S2)	
Total with extra variables†	7	9	14	13	12	15	15	14	16	

*We do not include in these counts the variables sex, country of birth, participation in all previous sweeps and father's socioeconomic status which were used directly in Stage 3

[†]We include in these counts the variables sex, country of birth, participation in all previous sweeps and father's socioeconomic status

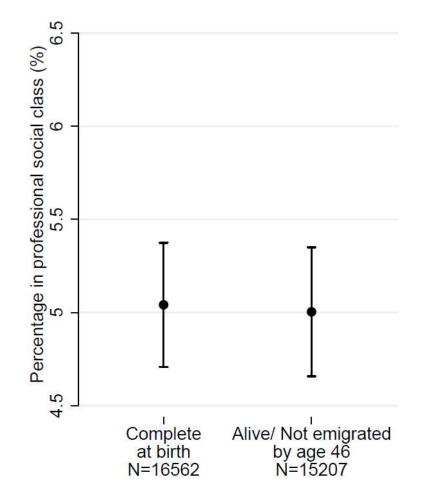
CENTRE FOR LONGITUDINAL Consistent predictors of participation



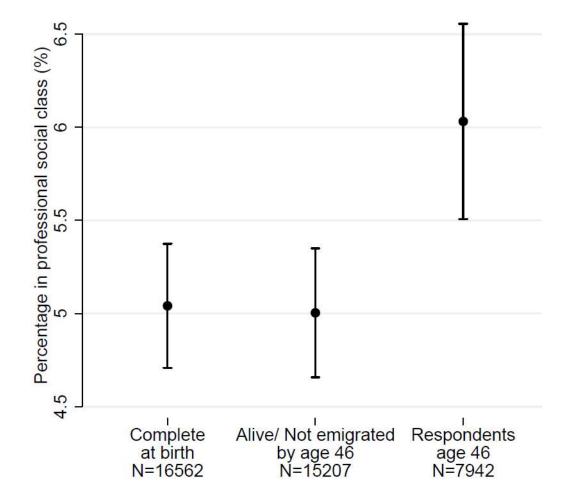
- Method of contraception (early sweeps)
- Paternal completion of education (early sweeps)
- Higher early life cognitive ability (sweeps in adulthood)
- Being female (sweeps in adulthood only)
- Few household moves (sweeps in adulthood only)
- Social participation voting (sweeps in adulthood only)
- Home ownership. (sweeps in adulthood only)
- Parity, i.e. number of older siblings
- Participation in previous sweeps
- Paternal social class

Internal validation: Paternal Social class - at birth

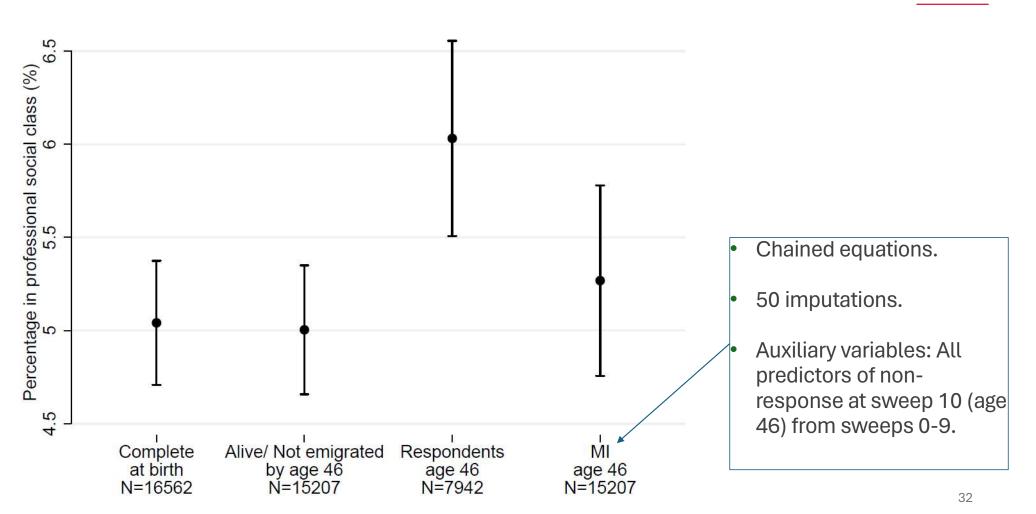




Internal validation: Paternal Social class - at birth





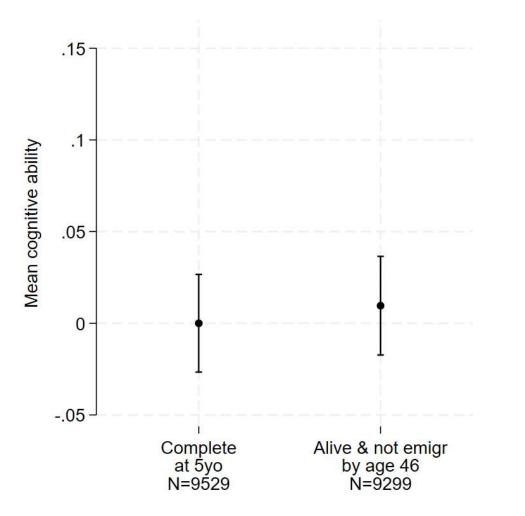


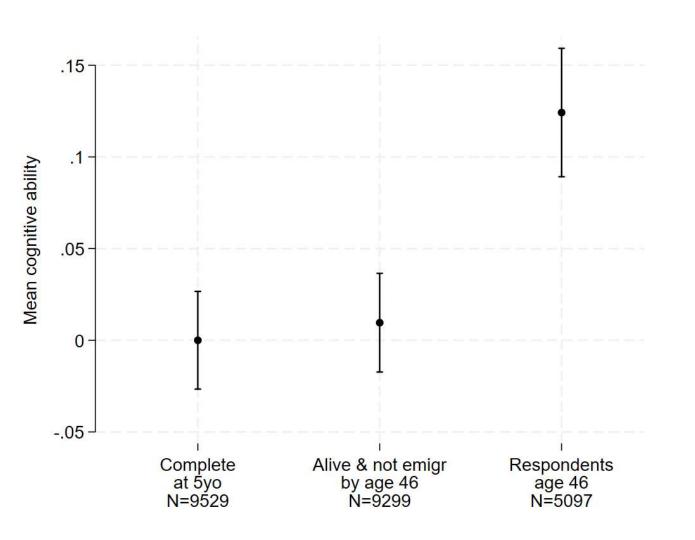
Internal validation: Paternal Social class - at birth



Internal validation: Cognitive ability - at 5yo

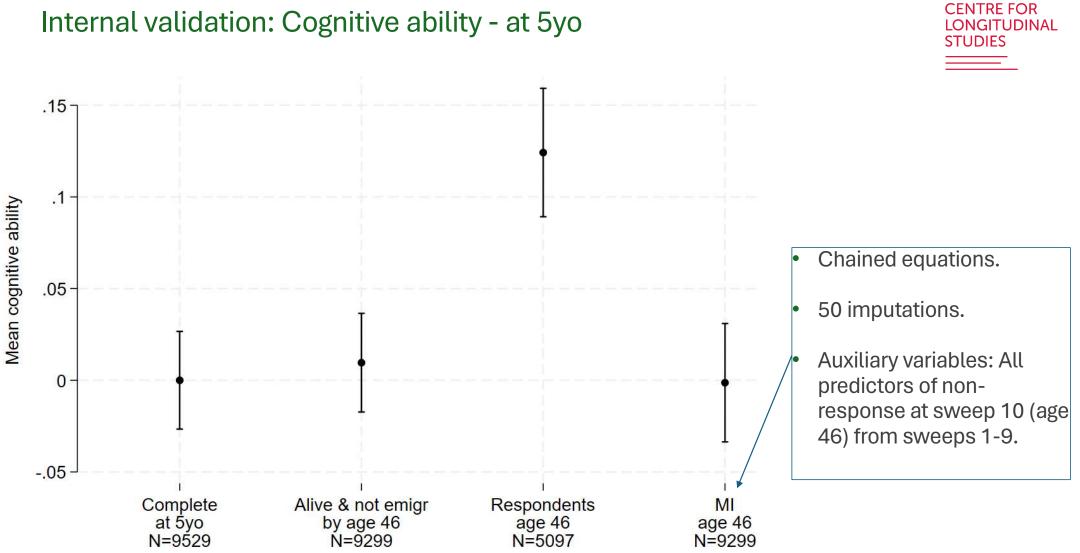




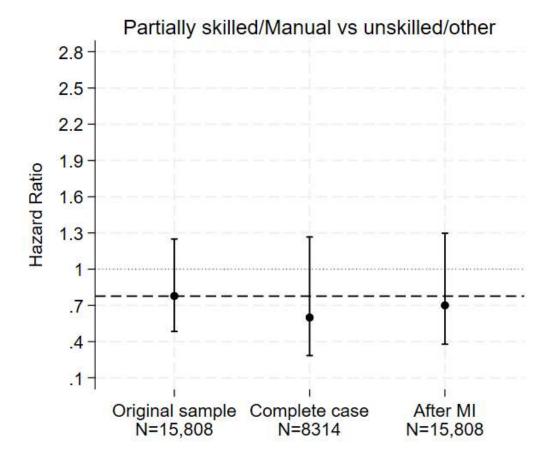


Internal validation: Cognitive ability - at 5yo

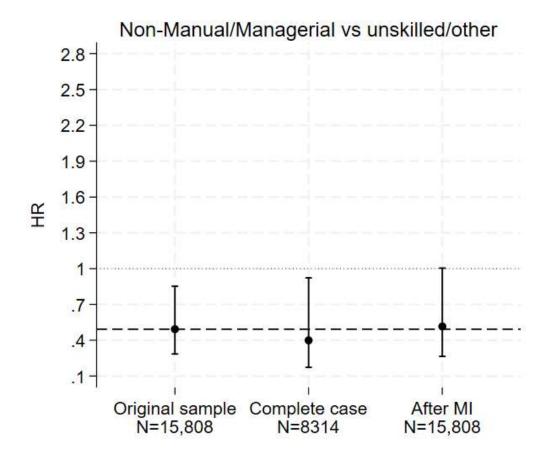




Hazard ratios of father's social class on participants' mortality after age 26 i) in the original sample ii) among 8314 respondents (complete case) of sweep 5 (age 26) and iii) after MI

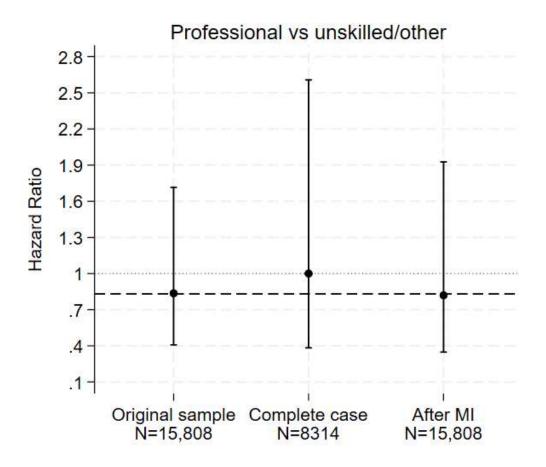


Hazard ratios of father's social class on participants' mortality after age 26 i) in the original sample ii) among 8314 respondents (complete case) of sweep 5 (age 26) and iii) after MI





Hazard ratios of father's social class on participants' mortality after age 26 i) in the original sample ii) among 8314 respondents (complete case) of sweep 5 (age 26) and iii) after MI



CENTRE FOR LONGITUDINAL STUDIES



To address problems due to missing data in BCS70

- Utilise information from <u>https://cls.ucl.ac.uk/wp-</u> <u>content/uploads/2020/04/Handling-Missing-Data-User-</u> <u>Guide-2024.pdf</u>, pages 88-110
- Based on the sweep you want to tackle missing data, select the predictors of non-response you need
- E.g. when using MI, these variables can be used as auxiliary variables in your imputation model

Tackling missing data in BCS70 IN PRACTICE – sweep 2 (age 5)

Table B1. Predictors of non-response at Sweep 2 (age 5).

Sweep	Variable description	Variable	Variable derivation details
Sweep 1 (age 0)	Marital Status	a0012	Single Married Divorced/Separated
	Parity	A0166_new	Recoded from A0166 0 1 2 3 >4
	Father's social status	BD1BPOS_new	Recoded from BD1BPOS I Single, no work, unskilled othe II partial work III manual work III non-manual work IV managerial/technical work V professorial work
	Country of Birth	COB_new	Recoded from COB England Wales Scotland

Sweep	Variable description	Variable	Variable derivation details
			Other
			Recoded from a0010
	Father's age at completion of education	A0010_new	≤15 year old 16-18 year old ≥19 years old
	Number of antenatal visits	a0190	Continuous (per visit)
	Method of contraception	a0029b	None Pill alone Pill alone and other method Other method



Tackling missing data in BCS70 IN PRACTICE – sweep 5 (age 26)

Table B4. Predictors of non-response at Sweep 5 (age 26).

Sweep	Variable description	Variable	Variable derivation details	Sweep	Variable description	Variable	Variable derivation details
	Father's social status	BD1BPOS_new	III manual work		Sex	SEX	Female vs male
				Sweep 2 (age 5)	External score	Extern_score_5	Per unit increase
Sweep 1 (age 0) Father's social status BD1BPOS_new II partial III manu III manu III non-r IV manu				-	Harris scoring method	f114	Per unit increase
				Sweep 3 (age 10)	Gross family income	grfaminc	Per unit increase
					Teacher Rutter assessment	B3T_Rutt	Per unit increase
	III non-manual work IV managerial/technical work		Number of household accessories	b3hldstf	Per unit increase		
		V professorial work			Very satisfied		
	Age of mother at 1 st birth BD	BD1AGEFB	Continuous (per year)	Sweep 4 (age 16)	Satisfaction with teen's school progress	Pb3_1	Fairly satisfied
		a0029b	None Pill alone Pill & Other method Other Method				Not satisfied
Method of contraception	Method of contraception			Non-response at Previous sweeps (i.e. sweeps 1, 2 & 3)			Yes vs no
	Parity (i.e. number of older siblings)	A0166_new	Recoded from a0166 0 1 2 3 >4				
	Certainty of last menstrual period	a0196	Certain vs uncertain				



Tackling missing data in BCS70 IN PRACTICE – sweep 10 (age 46)

Table B9. Predictors of non-response at Sweep 10 (age 46).

Sweep	Variable description	Variable	Variable derivation details
Sweep 1 (age 0)	Father's social status	BD1PSOC_new	Recoded from BD1PSOC I Single, no work, unskilled other II partial work III manual work III non-manual work IV managerial/technical work V professorial work
	Country of Birth	COB_new	Recoded from COB England Wales Scotland Other
	Parity (i.e. number of older siblings)	A0166_new	Recoded from a0166 0 1 2 3 >4
	Certainty of last menstrual period	a0196	Certain vs uncertain
	Was lactation attempted	a0297	Not attempted vs attempted



IN PRACTICE – sweep 10 (age 46) - continued

Sweep	Variable description	Variable	Variable derivation details
	Number of antenatal visits	a0190	Per visit
Sweep 2 (age 5)	Copying designs score	f119	Continuous (Per unit)
Sweep 3 (age 10)	Score BAS Matrices	BASmatrx	Continuous (Per unit)
	Accommodation	D2_new	Recoded from d2 Owned Bought Council rented Other rented Tied to occupation
Sweep 4 (age 16)			
Sweep 5 (age 26)			
Sweep 6 (age 29)	Had eczema or skin problems?	Othskin_new	Recoded from othskin (8,9 >missing) No vs yes
	Voted in general elections 1997?	Vote97_new	Recoded from vote97 (8, 9 >missing) No vs yes
Sweep 7 (age 34)	Is this address participant's residence?	b7nmal	No vs yes
Sweep 8 (age 38)	Willing to be contacted for Parents Research Project	b8parent	No vs Yes
	Any children aged 0-6	b8chd006	No vs Yes





IN PRACTICE – sweep 10 (age 46) - continued

Sweep	Variable description	Variable	Variable derivation details
Sweep 9 (age 42)	Total score	B9VSCORE	Per mark
Non-response at Previous sweeps (i.e. sweeps 1-8)			Yes vs no



These variables can be used to restore representativeness and

- Present summary statistics of the characteristics of individuals
- Assess causal relationships between your exposure and your outcome, account for potential confounders

Summary



- We have identified variables which predict non-response at each wave of BCS70.
- These can be used as auxiliary variables in subsequent analyses to increase the plausibility of the MAR assumption.
- Simple test analyses have shown this approach to perform well.
- Lists of predictors of non-response available via Handling missing data in the CLS cohort studies (<u>https://cls.ucl.ac.uk/wp-</u> <u>content/uploads/2020/04/Handling-Missing-Data-User-Guide-</u> 2024.pdf).
- Will be updated when new waves of data become available.
- Our work will facilitate researchers who plan to utilise BCS70 and help them address bias due to missing data







Thank you

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